

Item 5.1 Outstanding Actions

Governance, Risk and Best Value Committee

October 2014

No	Date	Report Title	Action	Action Owner	Expected completion date	Actual completion date	Comments
1	25.09.2013	Capital Monitoring 2012/13 – Outturn Slippage and Receipts – referral from the Finance and Budget Committee	To include revenue costs in reports outlining capital borrowing for projects.	Director of Corporate Governance			
2	10.10.2013	Internal Audit Co-Source Update	To report on the Council's powers in regard to appointing its external auditor and how it can ensure best value in that appointment.	Director of Corporate Governance	June 2014		

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3	14.11.2013	Tram Project Update	To ask that the Director of Corporate Governance writes to the Scottish Government requesting an update on likely timescales for the tram project inquiry.	Director of Corporate Governance	November 2014		Inquiry now called by Scottish Government. Verbal Update on Tram project in November 2014
4	14.11.2013	Corporate and Operational Governance	To request that the Director of Corporate Governance provides an update report in September 2014, in particular providing progress on procurement, risk and the development of a related training programme.	Director of Corporate Governance	September 2014		Expected in November 2014 to be incorporated into annual report.
5	19.12.2013	Corporate Governance: High Performing Workforce – Induction and Training	To request that a follow-up report by the Chief Internal Auditor be submitted to the Committee in June 2014.	Director of Corporate Governance	June 2014		Organisational Development now expected to lead this report.
6	30.01.14	Welfare Reform Governance - Update	Further report expected in 12 Months	Director of Corporate Governance	January 2015		

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7	06/03/2014	Pride in our People Presentation	To ask that either a written or verbal update, in particular focusing on the outcomes of the initiative, be presented to Committee in October 2014.	Chief Communications Officer	October 2014		Report on Agenda October 2014. Action recommended for closure.
8	03/04/2014	Work Programme	To request that a report in respect of maintenance issues at the Cameron House Community Centre be submitted to the Education, Children and Families Committee in 2 cycles, prior to coming to Governance, Risk and Best Value Committee for scrutiny	Directors of Services for Communities and Children and Families	September 2014		Now expected to be heard by Education, Children and Families Committee in December 2014.
9	03/04/2014	Internal Audit 2013/14 – Overview of Internal Audit Follow Up Arrangements and Status Report as at 28 February 2014	To request a further report at the June meeting requesting information on: a) The implementation of the exit policy for staff and, in particular, how it was applied regarding IT; b) The protocols in place for officers when determining, taking and managing 'acceptable' risk.	Director of Corporate Governance	June 2014		a) On October agenda b) sent to Committee 24 April 2014 Action recommended for closure.

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10	03/04/2014	Internal Audit 2013/14 – Overview of Internal Audit Follow Up Arrangements and Status Report as at 28 February 2014	That future reports detail when risks were highlighted and when they were likely to be addressed.	Chief internal Auditor			
11	03/04/2014	CMT Risk Register	To agree that a service area risk register should be presented to the committee at the meetings where the CMT risk register was considered as part of a rolling programme covering all service areas on an annual basis.	Director of Corporate Governance			First Service Area register will commence in December 2014 to allow for the new process to be established.
12	03/04/2014	CMT Risk Register	To ask if any of the service area risk registers included a failure to comply with the Equalities and Human Rights Acts.	Director of Corporate Governance			

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13	03/04/2014	Governance of Major Projects: Water of Leith and Braid Burn Flood Prevention Schemes	That a briefing should be given to all political groups on the lessons learnt on major projects, with particular reference to the Water of Leith Flood Prevention Scheme.	Director of Services for Communities			
14	22/05/2014	Outstanding Actions – May 2014	To request that future revenue reports to the Finance and Resources Committee include more detail on works in individual schools.	Head of Finance			
15	22/05/2014	Outstanding Actions – May 2014	To ask that Internal Audit report to Committee in December 2014 on the current year's school maintenance programme.	Chief Internal Auditor	December 2014		
16	22/05/2014	Internal Audit Co-Source Update	To request that the Head of Legal, Risk and Compliance provide an update to Committee in March 2015.	Director of Corporate Governance	March 2015		
17	22/05/2014	Review of Council Policy: Update	To request an update report on Council policies in December 2014	Director of Corporate Governance	December 2014		

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18	19/06/2014	Governance, Risk and Best Value Work Programme - June 2014	To request that Internal Audit reviews the implementation of the cycling budget motion from February 2012 Full Council and, if necessary, any subsequent Transport (or other) Committee policies or motions on the cycling budget. The review to include how and whether only appropriate expenditure items were allocated to the cycling budget, and to identify, at a high level, any potential improvements to the way that the cycling budget was administered.	Director of Corporate Governance			
19	19/06/2014	Property Conservation – Complaints Resolution – referral report from the Finance and Resources Committee	To request that an update be provided to the Committee's meeting in February 2015 on progress with the historical legacy issues and how the new structure and processes were working.	Director of Corporate Governance	March 2015		

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20	19/06/2014	Committee Decisions: Dissemination and Implementation and Update to Member/Officer Protocol	To agree that a report outlining all decisions taken in the previous year by that committee, update on implementation of decisions and recommendations to discharge actions be presented to the Corporate Policy and Strategy Committee, Executive Committees and the Governance, Risk and Best Value Committee on an annual basis.	Director of Corporate Governance			
21	19/06/2014	Committee Decisions: Dissemination and Implementation and Update to Member/Officer Protocol	Prior to submitting the Member/Officer Protocol to Council for approval, that an update be provided to the Committee in August 2014 on whether it was appropriate to adjust the Protocol to require officers to disclose, to all elected members, information pertinent to an issue being considered at Committee.	Director of Corporate Governance	August 2014		Revised Protocol to be submitted to November Committee

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22	19/06/2014	Committee Decisions: Dissemination and Implementation and Update to Member/Officer Protocol	That a spot-checking system on the dissemination of committee decisions to staff be introduced with an update being provided to the Committee every six months.	Director of Corporate Governance			
23	19/06/2014	Redhall House and Lawn – Options for Action – referral report from the Finance and Resources Committee	<p>To request the Acting Director of Services for Communities to report to the Committee in October 2014 on:</p> <ul style="list-style-type: none"> a) The number of properties sold in the last 3 years valued at over £480,000, and all listed buildings irrespective of value, which had conditions of sale still outstanding. b) The introduction of a new system to keep track of any conditions of sale which were outstanding, including an 	Acting Director of Services for Communities	October 2014		On October agenda. Action recommended for closure.

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			update on the status of an asset disposal policy.				
24	14/08/2014	Work Programme - August 2014	To agree that a report on Compromise Agreements be added to the work programme for November 2014. The report to address what compromise agreements are, how they work, the authority officials have to agree them and what political scrutiny occurs	Director of Corporate Governance			
25	14/08/2014	Procurement Controls in Councils – Report by Audit Scotland	To ask that an update report regarding procurement and seeking best value at the Council's Arm's Length External Organisations be provided to committee in 6 months' time.	Director of Corporate Governance	March 2015		
26	14/08/2014	Procurement Controls in Councils – Report by Audit Scotland	To agree that a briefing session on procurement be organised for elected members who are directors of the Council's Arm's Length External Organisations.	Director of Corporate Governance			

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27	14/08/2014	National Fraud Initiative 2012/13	To agree that a report on the results of recent internal fraud investigations be brought to Committee in November 2014.	Director of Corporate Governance	November 2014		
28	14/08/2014	Update to Member/Officer Protocol	To agree that section 5 of the protocol be redrafted to reflect the views of elected members in relation to access to information.	Director of Corporate Governance			
29	14/08/2014	Update to Member/Officer Protocol	To agree that a seminar be held for elected members in September 2014 to discuss the issue further and as a further opportunity to amend the revised protocol.	Director of Corporate Governance	September 2014		Took place 30 September 2014. Action recommended for closure.
30	14/08/2014	Update to Member/Officer Protocol	To bring the protocol back to the Governance, Risk and Best Value Committee in October 2014, prior to referring it to Council for approval.	Director of Corporate Governance	October 2014		Now deferred to November 2014
31	14/08/2014	Internal Audit – Quarterly Update Report - to 20 June 2014	To request that a report on the progress of management action on non-contractual overtime be brought to committee in 6 months.	Director of Corporate Governance	March 2015		

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32	24/09/14	City of Edinburgh Council – Report to those charged with Governance on the 2013/14 Audit	To ask that an update report regarding the resolution of complaints and the billing and conclusion of outstanding debts for the legacy property conservation service be submitted to the GRBV committee on a quarterly basis beginning in December 2014.	Director of Corporate Governance	December 2014		
33	24/09/14	Update on the EICC – Verbal update by the Director of Corporate Governance	Edinburgh International Conference Centre (EICC) newly appointed Chief Executive to be invited to attend the Committee in November 2014, when a further report on the EICC is expected	Director of Corporate Governance	November 2014		
34	24/09/14	Revenue Monitoring 2013/14 – Outturn – referral from the Finance and Resources Committee	To provide information to members on the 2012/13 figures, targets and money gained for all local authorities regarding the business rate incentivisation scheme.	Director of Corporate Governance			

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35	24/09/14	Corporate Management Team Risk Register	To request that a report be submitted to the GRBV committee in November 2014 outlining the number of staff that have accepted VERA and have been subsequently re-employed by the City of Edinburgh Council.	Director of Corporate Governance	November 2014		
36	24/09/14	Corporate Management Team Risk Register	To request that information be circulated to members via e-mail outlining the timetable for the completion of conditioned surveys of council owned assets.	Director of Corporate Governance			